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**TO: Commissioner for Patents**

**FACSIMILE NUMBER: (703) 872-9306**

**FROM: Karen A. Harding**

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**DATE: June 3, 2005**

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**Ref: Serial Number: 10/699,417  
VTN5023**

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**COMMENTS: Please see attached.**

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Docket No. VTN-5023

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants : Shivkumar Mahadevan

Serial No. : 10/699,417

Art Unit:

JUN 03 2005

Filed : October 31, 2003

Examiner:

For : PURIFICATION OF SILICONE CONTAINING COMPOUNDS BY  
SUPERCRITICAL FLUID EXTRACTION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark  
Office, via fax number: (703) 872-9306

June 3, 2005

(Date of Deposit)

Linda Long

(Name of applicant, assignee, or Registered Representative)

/Linda Long/

(Signature)

June 3, 2005

(Date of Signature)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or

☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a) (2).

☐ There are no listed references which are not in the English language.

☒ The relevance of those listed references which are not in the English language is as follows: JP06107796 - English Abstract attached thereto.

☒ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/VTN-5023/KAH. This form is submitted in triplicate.

Respectfully submitted,

/Karen A. Harding/  
Karen A. Harding  
Reg. No. 33,967  
Attorney for Applicants

Johnson & Johnson  
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DATED: June 3, 2005

<b>SUBMISSION UNDER MPEP 609 D</b>  <b>Page 1 of 1</b>	<i>Application Number</i>	10/699,417
	<i>Filing Date</i>	October 31, 2003
	<i>First Named Inventor</i>	Shivkumar Mahadevan
	<i>Group Art Unit</i>	
	<i>Examiner Name</i>	
	<i>Attorney Docket Number</i>	VTN-5023

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Name of Patentee or Applicant of Cited Document	U.S. Patent Document		Pages, Columns, Lines, where relevant passages or relevant figures appear
			Number	Kind Code <sup>2</sup> (if known)	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Name of Patentee or Applicant of Cited Document	Foreign Patent Document			Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>2</sup>
			Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>		

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials <sup>6</sup>	Cite No. <sup>7</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		PCT International Search Report, dated February 4, 2005, for PCT Int'l. Appln. No. PCT/US2004/035883	

Examiner Signature		Date Considered	
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PTO/SB08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Application Number	10/699,417
Filing Date	October 31, 2003
First Named Inventor	Shivkumar Mahadevan
Group Art Unit	
Examiner Name	
Attorney Docket Number	VTN-5023

**U.S. PATENT DOCUMENTS**

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		Number	Kind Code <sup>2</sup> (if known)			
		4,880,543		Robinson P. Khosah et al.	11-14-1999	

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Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				
		EP	0 484 959		Dow Corning	05-13-1992		
		WO	09/080713		Bausch & Lomb	10-02-2003		

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		CHLORINE ENGINEERING CORP., LTD., "Purification of Modified Silicone Oil", Patent Abstracts of Japan, Vol. 18, No. 385, July 10, 1994	
		KIM, Sunwook et al., "Phase behaviors and fractionation of polymer solutions in supercritical carbon dioxide", Journal of Supercritical Fluids, Vol. 13, No. 1-3, June 15, 1998, pp. 99-108	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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